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## PATENT APPLICATION



The Commissioner of Patents and Trademarks  
Applications Branch  
Washington, D. C. 20231

Sir:

In response to a "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicant(s): YONG HO SON and MICHAEL COLLIGAN  
Title of application: METHOD AND APPARATUS PROVIDING PROCESS INDEPENDENCE WITHIN A HETEROGENEOUS INFORMATION DISTRIBUTION SYSTEM  
Serial No.: 09/458,321  
Filed: DECEMBER 10, 1999  
Attorney Docket No.: 533/040

We enclose the following material herewith:

- ☒ Declaration;
- ☒ Verified statement claiming small entity status;
- ☐ Verified English translation of the application;
- ☐ Our check in the amount of \$\_\_\_\_\_ to cover the basic filing fee of \$\_\_\_\_\_, additional claim fees of \$\_\_\_\_\_ and the surcharge under 37 CFR 1.16(e) in the amount of \$\_\_\_\_\_;
- ☒ Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

We have also enclosed the following item(s) in connection with this application:

- ☒ A claim to priority U.S. Provisional Application 60/127,124, filed March 31, 1999;
- ☐ Priority Document;
- ☒ Other: Assignment to DIVA Systems Corporation

Please charge the Application Fee in the amount of \$380, additional claim fee in the amount of \$0, the surcharge under 37 CFR 1.16(e) in the amount of \$65, and any additional fees, as appropriate, to Deposit Account 20-0782. To facilitate this charge a duplicate copy of this letter is also enclosed herewith.

Please issue a corrected filing receipt as appropriate.

Respectfully submitted,

*E. Wall*

Eamon J. Wall, Attorney  
Reg. No. 39,414  
(908) 530-9404

EJW:lec  
Encls.

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on 3/7/00 with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Commissioner of Patents and Trademarks, Attention: Box Missing Parts, Washington, D.C. 20231.

*Laure E. Cratz*

Signature

3/7/00

Date of signature



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

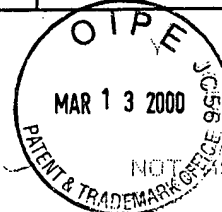
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/458,321 12/10/99 SDN

533/040

THOMASON MOSER & PATTERSON LLP  
ATTORNEYS AT LAW  
240 BRIDGE AVENUE  
PO BOX 8160  
RED BANK NJ 07701



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DATE MAILED:

01/27/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 890.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*P. Walker*

Customer Service Center

U.S. Patent and Trademark Office (703) 298-1202

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